



# RCP and Audit Changes

# Who Approves Changes?

**To The Responsible  
Carrier Program?**



**AWO Board of  
Directors**

**To The Audit  
Checklist?**



**Accreditation  
Board**

# Who Can Suggest Changes?

## To The Responsible Carrier Program?

- AWO Members
- AWO-Certified Auditors

Approval



Accreditation Board



Executive Committee



Board of Directors

## The Audit Checklist?

- AWO Members
- AWO-Certified Auditors

Approval



Accreditation Board

# Auditor Qualification Changes

- Lead auditor training course
- 5 years of acceptable professional safety experience:
  - Responsibility for the prevention of harm to people, property and the environment.
  - Hazard recognition, evaluation and analysis, and development and implementation of controls.

# 2009 Changes to RCP Policy (1/17/09)

- Probationary status is eliminated
- Members currently on Probation will remain in that status

# Changes to RCP Policy

- **New members complete an RCP audit by first anniversary and two additional annual RCP audits.**
- **Remain in provisional status until the completion of second RCP audit.**

# Changes to RCP Policy

- **Companies with SMS for at least 12 months may undergo an RCP audit within one year of membership.**
- **Upon completion of this audit, the company will no longer be in provisional status.**

# Changes to RCP Policy

- **After the first audit cycle recertification audits are due every three years.**
- **AWO will notify member companies 180, 90, and 30 days prior to the due date.**

# Changes to RCP Policy

- **Companies complying with both ISM and the RCP**
  - **After an initial RCP audit completed within one year, recertification audits may be conducted every five years.**

# Changes to RCP Policy

- For companies whose entire fleet is not required to maintain Safety Management Certificates,
  - 10 percent must undergo an RCP vessel audit at least once every three years.

# Changes to RCP Policy

- **Failure of a company to complete its RCP audit:**
  - **Immediately removes the company's RCP certification**
  - **Termination of membership.**

# Changes to RCP Policy

- **The Accreditation Board may grant a limited extension:**
  - **To correct equipment deficiencies**
  - **In extraordinary circumstances such as weather, earthquake or acts of God.**

# Changes to RCP Policy

- **AWO members will have their membership status and RCP audit due date posted on the AWO Web site.**

# Changes to RCP Policy

- **Membership status:**
  - **Provisional Member – Less than two years and have not yet completed two AWO-certified RCP audits.**
    - **Companies with safety management system for at least 12 months prior will be considered a provisional member until completion of its first AWO-certified RCP audit.**
  - **RCP-certified Member – Completed a current AWO- certified Responsible Carrier Program audit.**

# Changes to RCP Policy

**Affiliate members that provide tankermen and/or vessel crewmembers are eligible for RCP certification**

# Constitutional Change

## *AWO Constitution and Bylaws*

Delete the *“good faith commitment”* clause.

The *AWO Constitution* now requires members to comply with the AWO Responsible Carrier Program.

# RCP on the Web

- [http://www.americanwaterways.com/commitment\\_safety/RCPfinal.pdf](http://www.americanwaterways.com/commitment_safety/RCPfinal.pdf)



**Any questions/comments?**